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# Department of Defense DIRECTIVE

DDDD-5111.1

March 22, 1995  
NUMBER 5111.1

Supersedes AD-A271 707

DA&M

SUBJECT: Under Secretary of Defense for Policy (USD(P))

- References:
- (a) Title 10, United States Code
  - (b) DoD Directive 5111.1, subject as above, July 6, 1993 (hereby canceled)
  - (c) DoD 5025.1-M, "DoD Directives System Procedures," December 1990, authorized by DoD Directive 5025.1, June 24, 1994
  - (d) DoD Directive 8910.1, "Management and Control of Information Requirements," June 11, 1993

## A. REISSUANCE AND PURPOSE

Pursuant to Section 134 of reference (a) and the authority vested in the Secretary of Defense by Section 113 of reference (a), this Directive reissues reference (b) to update the responsibilities, functions, relationships, and authorities of the USD(P), as prescribed herein.

## B. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense (OSD), the Military Departments, the Chairman of the Joint Chiefs of Staff, the Unified Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, and the DoD Field Activities (hereafter referred to collectively as "the DoD Components").

## C. RESPONSIBILITIES AND FUNCTIONS

The Under Secretary of Defense for Policy is the principal staff assistant and advisor to the Secretary and Deputy Secretary of Defense for all matters concerning the formulation of national security and defense policy and the integration and oversight of DoD policy and plans to achieve national security objectives. In the exercise of this responsibility, the USD(P) shall:

1. Represent the Department of Defense, as directed, in matters involving the National Security Council (NSC), Department of State, and other Departments, Agencies, and interagency groups with responsibilities for national security policy.
2. Serve as a member of the NSC Deputies Committee; serve as a member of the Deputies Committee for Crisis Management; and advise the Secretary of Defense on crisis prevention and management, including contingency planning for major areas of concern.

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3. Develop policy for defense-related international negotiations and represent the Department of Defense in those negotiations unless otherwise directed.

4. Develop and coordinate DoD policy and positions for international negotiations on arms control implementation and/or compliance issues.

5. Develop policy on the conduct of alliances and defense relationships with foreign governments, their military establishments, and international organizations; integrate and oversee plans and programs undertaken in conjunction with those alliances and defense relationships.

6. Develop, coordinate, and oversee the implementation of international security strategy and policy; political-military policy on issues of DoD interest that relate to foreign governments and their defense establishments, to include arrangements for United States military facilities, access and operating rights, and status of forces; and policy on all matters relating to prisoners of war and missing in action.

7. Develop, coordinate, and oversee the implementation of policy and plans for defense security assistance.

8. Develop, coordinate, and oversee the implementation of policy to reduce and counter the threat to the United States, its forces, and allies of weapons of mass destruction and other militarily significant technologies and force capabilities, to include counterproliferation policy, arms control policy, and security policy.

9. Provide oversight of all DoD activities related to international technology transfer; develop, coordinate, and provide policy direction and overall management for the DoD Technology Security Program and policy related to international technology transfer, to include export controls, dual-use and munitions licensing, arms cooperation programs, and support for enforcement and intelligence systems.

10. Develop, coordinate, and oversee the implementation of strategy and policy for strategic and theater nuclear offensive forces, strategic and defensive forces; and review and evaluate plans, programs, and systems requirements for such forces and systems to assure consistency with the strategy and policy.

11. Assist the Secretary of Defense in development of national security and defense strategy; advise on the resources and forces necessary to implement that strategy, to include serving as the principal advisor for the planning phase of the DoD Planning, Programming, and Budgeting System and for monitoring the degree to which the DoD program and budget underwrite the strategy; and assist the Secretary of Defense in preparing written policy guidance for the preparation and review of operational and contingency plans, including those for nuclear and conventional forces, and in reviewing such plans.

12. Develop policy guidance, provide overall supervision, and provide oversight of planning, programming, budgeting, and execution of special operations activities, including civil affairs and psychological operations, and

of low-intensity conflict activities, including counterterrorism, support to insurgency, and contingency operations.

13. Develop, coordinate, and oversee the implementation of policy for the defense and military aspects of the promotion of constitutional democracy and respect for human rights, United States participation in peace operations, and the provision of humanitarian assistance.

14. Develop, coordinate, and oversee the implementation of drug control policy, to include planning, programming, and budgeting for the DoD counter-drug mission.

15. Provide mid- and long-range policy planning on strategic security matters and emerging national security issues; develop and oversee the implementation of a comprehensive strategy toward Russia, Ukraine, and other newly independent states of Eurasia; plan and conduct net assessments and policy research activities and programs.

16. Develop, coordinate, and oversee the implementation of policy for international security countermeasures activities of the Department of Defense; administer for the Department of Defense the National Disclosure Policy, the Foreign Disclosure and Technical Information System, the Foreign Visits Program, and the U.S. Visitor International Technology Program. As the U.S. Security Authority for the North Atlantic Treaty Organization, serve as the primary focal point for staff coordination on these matters both internal and external to the Department of Defense. Develop policy and provide oversight for emergency planning and preparedness, crisis management, defense mobilization in emergency situations, military support to civil authorities, civil defense, and continuity of operations and government. Develop policy and coordinate DoD participation in, and exercise staff supervision over, special activities, special access programs, sensitive support to non-DoD agencies, and the joint worldwide reconnaissance schedule.

17. Perform such other functions as the Secretary of Defense may prescribe.

#### D. RELATIONSHIPS

1. In the performance of assigned functions and responsibilities, the USD(P) shall:

- a. Report directly to the Secretary and Deputy Secretary of Defense.
- b. Exercise authority, direction, and control over:
  - (1) The Principal Deputy Under Secretary of Defense for Policy.
  - (2) The Assistant Secretary of Defense for International Security Affairs.
  - (3) The Assistant Secretary of Defense for International Security Policy.
  - (4) The Assistant Secretary of Defense for Strategy and Requirements.

(5) The Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict.

(6) The Defense Advisor, U.S. NATO.

(7) The Director, Defense Security Assistance Agency, through the Assistant Secretary of Defense for International Security Affairs.

(8) The Director, Defense Technology Security Administration, through the Assistant Secretary of Defense for International Security Policy.

(9) The Director of the Defense POW/MIA Office, through the Assistant Secretary of Defense for International Security Affairs.

(10) The Director of Net Assessment, through the Principal Deputy Under Secretary of Defense for Policy.

(11) Such other subordinate officials as may be assigned.

c. Coordinate and exchange information with other OSD officials, heads of the DoD Components, and Federal officials having collateral or related functions.

d. Use existing facilities and services of the Department of Defense and other Federal Agencies, when practicable, to avoid duplication and achieve maximum efficiency and economy.

2. Other OSD officials and heads of the DoD Components shall coordinate with the USD(P) on all matters related to the responsibilities and functions cited in section C., above.

#### E. AUTHORITIES

The USD(P) is hereby delegated authority to:

1. Issue DoD Instructions, DoD Publications, and one-time directive-type memoranda, consistent with DoD 5025.1-M (reference (c)), that implement policy approved by the Secretary of Defense in assigned areas of responsibility. Instructions to the Military Departments shall be issued through the Secretaries of those Departments. Instructions to Unified Combatant Commands shall be communicated through the Chairman of the Joint Chiefs of Staff.

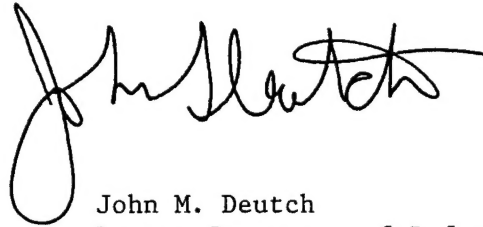
2. Obtain reports, information, advice, and assistance, consistent with DoD Directive 8910.1 (reference (d)), as necessary to carry out assigned functions.

3. Communicate directly with the heads of the DoD Components. Communications to the Commanders of Unified Combatant Commands shall be transmitted through the Chairman of the Joint Chiefs of Staff.

4. Communicate with other Government officials, representatives of the legislative branch, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned functions.

F. EFFECTIVE DATE

This Directive is effective immediately.



John M. Deutch  
Deputy Secretary of Defense

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